

Generic and specific abstract domains
for **static analysis** by **abstract interpretation**

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Motivation: a classic example



Maiden flight of the Ariane 5 Launcher, 4 June 1996.

Motivation: a classic example



40s after launch...

(cause: overflow during an arithmetic conversion)

Lessons

- software errors can be **costly** even simple ones
(Ariane 5 failure estimated at more than 370,000,000 US\$)
- **hardware redundancy** does not help
(redundant computers run the same software, the same error)
- **testing** is not sufficient
(hardly exhaustive)
- programming in high-level **“safe” languages** is not sufficient
(Ariane 5 coded in Ada, with arithmetic exceptions enabled)

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⇒ **use formal methods**

(provide rigorous, mathematical insurance about program behaviors)

Static analysis

Semantic-Based **Static Analysis**

Infers properties of the **dynamic** behavior of programs.

- analyzes the **source** code (not a model)
- **soundness**: no behavior is missed (full control and data **coverage**)
- automatic, always **terminates**
- incomplete due to over-approximations (**false alarms**)

Applications:

- check **simple properties**, with low precision requirements (optimization in compilers)
- can be used to **uncover bugs** (Ariane 5 bug detected by Polyspace Analyzer, late 1990s)
- can it be used for **validation** (0 false alarm goal; e.g, Astrée specialized analyzer, early 2000s)

Example analysis: inferring numeric invariants

Insertion Sort

```
for i=1 to 99 do  
  
    p := T[i]; j := i+1;  
  
    while j <= 100 and T[j] < p do  
  
        T[j-1] := T[j]; j := j+1;  
  
    end;  
  
    T[j-1] := p;  
end;
```

Example analysis: inferring numeric invariants

Interval analysis:

Insertion Sort

```
for i=1 to 99 do
   $i \in [1, 99]$ 
  p := T[i]; j := i+1;
   $i \in [1, 99], j \in [2, 100]$ 
  while j <= 100 and T[j] < p do
     $i \in [1, 99], j \in [2, 100]$ 
    T[j-1] := T[j]; j := j+1;
     $i \in [1, 99], j \in [3, 101]$ 
  end;
   $i \in [1, 99], j \in [2, 101]$ 
  T[j-1] := p;
end;
```

⇒ there is no out of bound array access

Example analysis: inferring numeric invariants

Linear inequality analysis:

Insertion Sort

```
for i=1 to 99 do
   $i \in [1, 99]$ 
  p := T[i]; j := i+1;
   $i \in [1, 99], j = i + 1$ 
  while j <= 100 and T[j] < p do
     $i \in [1, 99], i + 1 \leq j \leq 100$ 
    T[j-1] := T[j]; j := j+1;
     $i \in [1, 99], i + 2 \leq j \leq 101$ 
  end;
   $i \in [1, 99], i + 1 \leq j \leq 101$ 
  T[j-1] := p;
end;
```

Abstract interpretation

Abstract interpretation: unifying theory of program semantics

[Cousot Cousot 76]

Core principles:

- semantics are **linked** through **abstractions** (α, γ)
- abstractions can be composed and reused (abstract domain)
- semantics are expressed as **fixpoints** $(\text{lfp } F)$
- fixpoints can be approximated by iteration with acceleration
(widening ∇)

Applications:

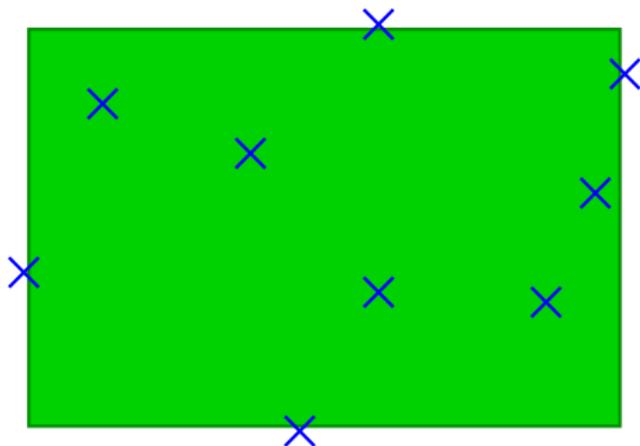
- compare existing semantics and analyses (unifying power)
- derive new semantics by abstraction
derive **computable** semantics \implies **sound static analysis**

Abstract domain examples



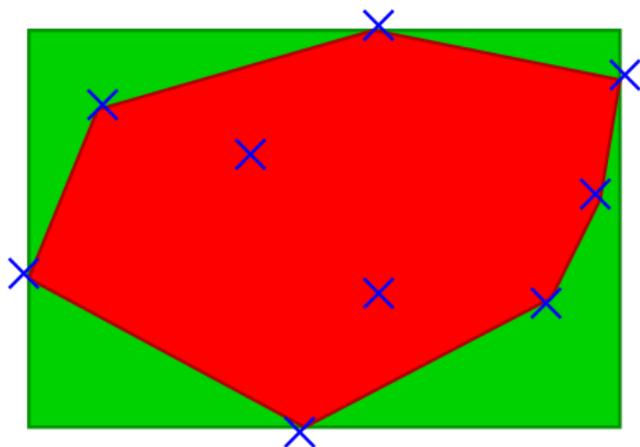
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Abstract domain examples



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Abstract domain examples

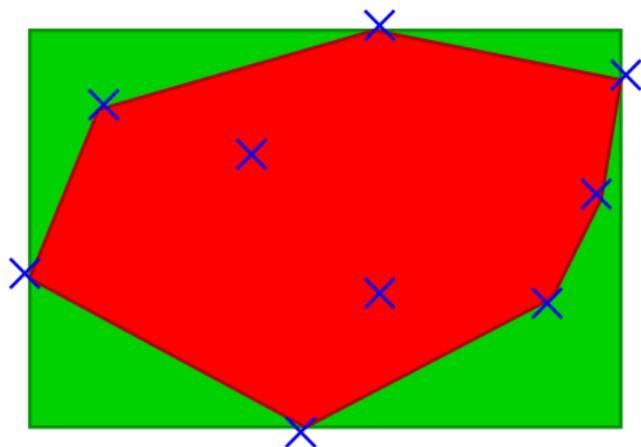


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boxes \mathcal{D}_b^\sharp : $X \in [0; 12] \wedge Y \in [0; 8]$ (linear cost)

polyhedra \mathcal{D}_p^\sharp : $6X + 11Y \geq 33 \wedge \dots$ (exponential cost)

Abstract domain examples



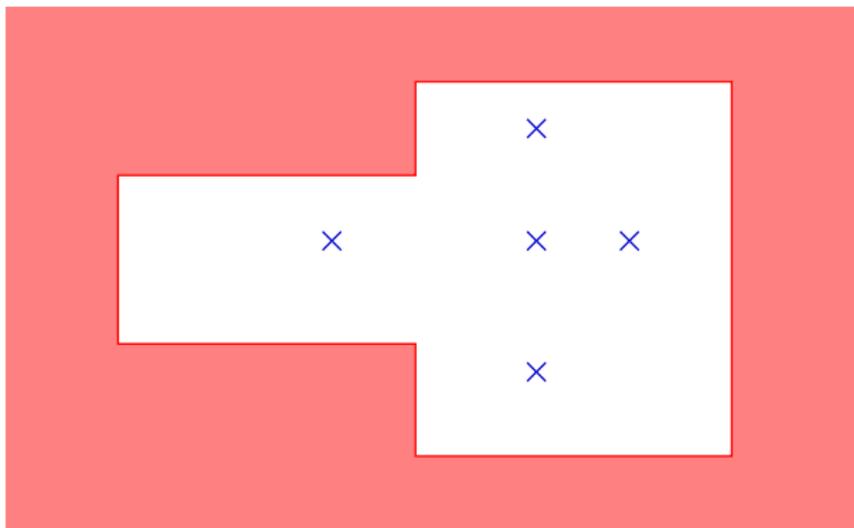
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\implies trade-off cost vs. precision and expressiveness.

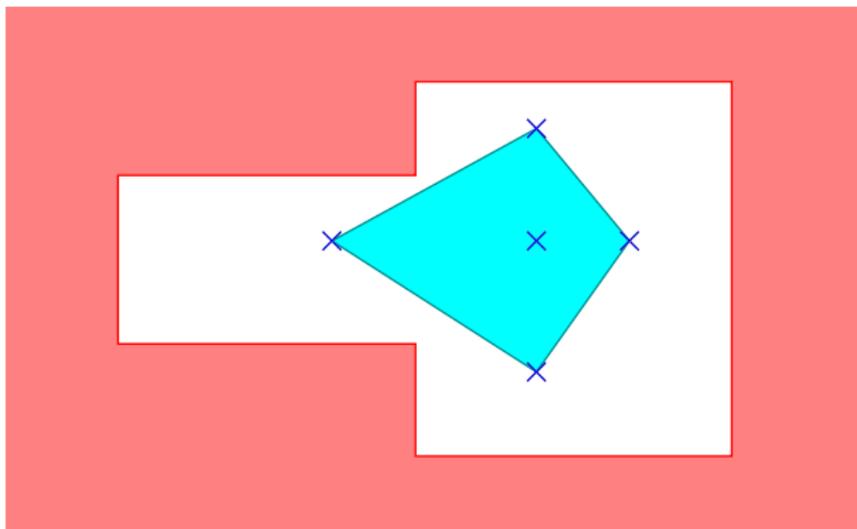
Correctness proofs and false alarms



Goal: prove that the **program** never enters an **error state**

The program is **correct** ($\text{blue} \cap \text{red} = \emptyset$)

Correctness proofs and false alarms

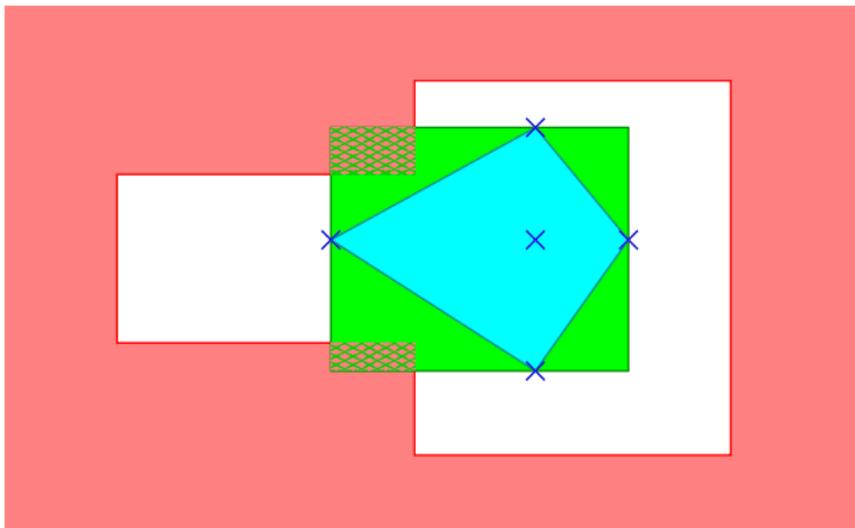


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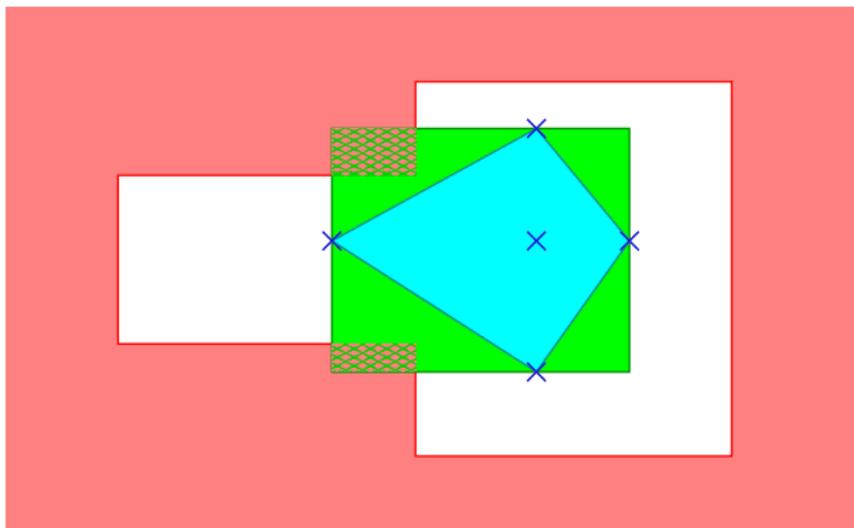
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The intervals domain **cannot** ($\text{green} \cap \text{red} \neq \emptyset$, **false alarm**)

Correctness proofs and false alarms



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The program is **correct** ($\text{blue} \cap \text{red} = \emptyset$)

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The intervals domain **cannot** ($\text{green} \cap \text{red} \neq \emptyset$, **false alarm**)

Trade-off between cost and precision (number of false alarms)

Overview

- **Rational domains**
 - concrete & abstract semantics of a toy language
 - interval domain
 - polyhedra domain
- **Floating-point domains**
 - linearization of float expressions
 - float polyhedra
- **Binary representation aware domains**
 - machine integers
 - memory abstraction
 - binary float domains
- **Application:** Astrée analyzer

Rational Domains

Toy language: syntax

arithmetic expressions:

<code>exp</code>	<code>::=</code>	<code>V</code>	variable $V \in \mathcal{V}$
		<code>-exp</code>	negation
		<code>exp \diamond exp</code>	binary operation: $\diamond \in \{+, -, \times, /\}$
		<code>[c, c']</code>	constant range, $c, c' \in \mathbb{Q} \cup \{\pm\infty\}$ (<code>c</code> is a shorthand for <code>[c, c]</code>)

programs:

<code>prog</code>	<code>::=</code>	<code>V := exp</code>	assignment
		<code>if exp \bowtie 0 then prog else prog fi</code>	test
		<code>while exp \bowtie 0 do prog done</code>	loop
		<code>prog; prog</code>	sequence

Finite set \mathcal{V} of variables, with value in \mathbb{Q}
 (later extended to floats \mathbb{F} and machine integers \mathbb{M})

Concrete semantics

Semantics of expressions: $E[e]: (\mathcal{V} \rightarrow \mathbb{Q}) \rightarrow \mathcal{P}(\mathbb{Q})$

The evaluation of e in ρ gives a **set** of values:

$$E[[c, c']] \rho \stackrel{\text{def}}{=} \{x \in \mathbb{Q} \mid c \leq x \leq c'\}$$

$$E[[V]] \rho \stackrel{\text{def}}{=} \{\rho(V)\}$$

$$E[[-e]] \rho \stackrel{\text{def}}{=} \{-v \mid v \in E[e] \rho\}$$

$$E[[e_1 + e_2]] \rho \stackrel{\text{def}}{=} \{v_1 + v_2 \mid v_1 \in E[[e_1]] \rho, v_2 \in E[[e_2]] \rho\}$$

$$E[[e_1 - e_2]] \rho \stackrel{\text{def}}{=} \{v_1 - v_2 \mid v_1 \in E[[e_1]] \rho, v_2 \in E[[e_2]] \rho\}$$

$$E[[e_1 \times e_2]] \rho \stackrel{\text{def}}{=} \{v_1 \times v_2 \mid v_1 \in E[[e_1]] \rho, v_2 \in E[[e_2]] \rho\}$$

$$E[[e_1 / e_2]] \rho \stackrel{\text{def}}{=} \{v_1/v_2 \mid v_1 \in E[[e_1]] \rho, v_2 \in E[[e_2]] \rho, v_2 \neq 0\}$$

Concrete semantics

Semantics of programs: $C[[p]]: \mathcal{D} \rightarrow \mathcal{D}$

where $\mathcal{D} \stackrel{\text{def}}{=} \mathcal{P}(\mathcal{V} \rightarrow \mathbb{Q})$

A **transfer function** for p defines a **relation** on environments $\rho \in \mathcal{D}$:

$$C[[v := e]] \mathcal{X} \stackrel{\text{def}}{=} \{ \rho[v \mapsto v] \mid \rho \in \mathcal{X}, v \in E[[e]] \rho \}$$

$$C[[e \bowtie 0]] \mathcal{X} \stackrel{\text{def}}{=} \{ \rho \mid \rho \in \mathcal{X}, \exists v \in E[[e]] \rho, v \bowtie 0 \}$$

$$C[[b_1; b_2]] \mathcal{X} \stackrel{\text{def}}{=} C[[b_2]](C[[b_1]] \mathcal{X})$$

$$C[[\text{if } e \bowtie 0 \text{ then } b_1 \text{ else } b_2]] \mathcal{X} \stackrel{\text{def}}{=} \\ (C[[b_1]] \circ C[[e \bowtie 0]]) \mathcal{X} \cup (C[[b_2]] \circ C[[e \nabla 0]]) \mathcal{X}$$

$$C[[\text{while } e \bowtie 0 \text{ do } b \text{ done}]] \mathcal{X} \stackrel{\text{def}}{=} \\ C[[e \nabla 0]] (\text{lfp } \lambda \mathcal{Y}. \mathcal{X} \cup (C[[b]] \circ C[[e \bowtie 0]]) \mathcal{Y})$$

It relates the environments after the execution of a command to the environments before.

Abstract domains

- Abstract elements:
 - $\mathcal{D}^\#$ set of computer-representable elements
 - $\gamma : \mathcal{D}^\# \rightarrow \mathcal{D}$ concretization
 - $\subseteq^\#$ approximation order: $\mathcal{X}^\# \subseteq^\# \mathcal{Y}^\# \implies \gamma(\mathcal{X}^\#) \subseteq \gamma(\mathcal{Y}^\#)$
- Abstract operators:
 - $\mathbf{C}^\#[c] : \mathcal{D}^\# \rightarrow \mathcal{D}^\#$ and $\mathbf{U}^\# : (\mathcal{D}^\# \times \mathcal{D}^\#) \rightarrow \mathcal{D}^\#$
 - soundness: $(\mathbf{C}[c] \circ \gamma)(\mathcal{X}^\#) \subseteq (\gamma \circ \mathbf{C}^\#[c])(\mathcal{X}^\#)$
 $\gamma(\mathcal{X}^\#) \cup \gamma(\mathcal{Y}^\#) \subseteq \gamma(\mathcal{X}^\# \mathbf{U}^\# \mathcal{Y}^\#)$
- Fixpoint extrapolation
 - $\nabla : (\mathcal{D}^\# \times \mathcal{D}^\#) \rightarrow \mathcal{D}^\#$ widening
 - soundness: $\gamma(\mathcal{X}^\#) \cup \gamma(\mathcal{Y}^\#) \subseteq \gamma(\mathcal{X}^\# \nabla \mathcal{Y}^\#)$
 - termination: \forall sequence $(\mathcal{Y}_i^\#)_{i \in \mathbb{N}}$
the sequence $\mathcal{X}_0^\# = \mathcal{Y}_0^\#, \mathcal{X}_{i+1}^\# = \mathcal{X}_i^\# \nabla \mathcal{Y}_{i+1}^\#$
stabilizes in finite time: $\exists n < \omega, \mathcal{X}_{n+1}^\# = \mathcal{X}_n^\#$

Both **semantics** and **algorithmic** aspects.

Galois connection

Galois connection definition: $(\mathcal{D}, \subseteq) \begin{matrix} \xleftarrow{\gamma} \\ \xrightarrow{\alpha} \end{matrix} (\mathcal{D}^\#, \subseteq^\#)$

- monotonic concretization $\gamma : \mathcal{D}^\# \rightarrow \mathcal{D}$
- monotonic **abstraction** $\alpha : \mathcal{D} \rightarrow \mathcal{D}^\#$
- $\forall \mathcal{X} \in \mathcal{D} : \forall \mathcal{Y}^\# \in \mathcal{D}^\# : \alpha(\mathcal{X}) \subseteq^\# \mathcal{Y}^\# \iff \mathcal{X} \subseteq \gamma(\mathcal{Y}^\#)$

Application: optimal abstractions

- elements $\mathcal{X} \in \mathcal{D}$ have a **best abstraction**: $\alpha(\mathcal{X})$

$$\alpha(\mathcal{X}) = \bigcap^\# \{ \mathcal{Y}^\# \mid \mathcal{X} \subseteq \gamma(\mathcal{Y}^\#) \}$$
- functions $F : \mathcal{D} \rightarrow \mathcal{D}$ have a **best abstraction**:

$$F^\# \stackrel{\text{def}}{=} \alpha \circ F \circ \gamma$$
- **however** optimality does not compose

$$\alpha \circ (F_1 \circ F_2) \circ \gamma \subsetneq (\alpha \circ F_1 \circ \gamma) \circ (\alpha \circ F_2 \circ \gamma) \quad (\gamma \circ \alpha \not\supseteq id)$$
- provides semantic aspects only, no algorithm!

Abstract semantics

Given by the abstract domain:

- sound $C^\sharp[[v := e]], C^\sharp[[e \bowtie 0]], \cup^\sharp$
- sound and terminating ∇

Derived analysis: from the concrete...

$$C[[b_1; b_2]] \mathcal{X} \stackrel{\text{def}}{=} C[[b_2]](C[[b_1]] \mathcal{X})$$

$$C[[\text{if } e \bowtie 0 \text{ then } b_1 \text{ else } b_2]] \mathcal{X} \stackrel{\text{def}}{=} \\ (C[[b_1]] \circ C[[e \bowtie 0]]) \mathcal{X} \cup (C[[b_2]] \circ C[[e \nabla 0]]) \mathcal{X}$$

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Abstract semantics

Given by the abstract domain:

- sound $C^\# \llbracket v := e \rrbracket, C^\# \llbracket e \bowtie 0 \rrbracket, \cup^\#$
- sound and terminating ∇

Derived analysis: ... to the abstract

$$C^\# \llbracket b_1; b_2 \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} C^\# \llbracket b_2 \rrbracket (C^\# \llbracket b_1 \rrbracket \mathcal{X}^\#)$$

$$C^\# \llbracket \text{if } e \bowtie 0 \text{ then } b_1 \text{ else } b_2 \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} \\ (C^\# \llbracket b_1 \rrbracket \circ C^\# \llbracket e \bowtie 0 \rrbracket) \mathcal{X}^\# \cup^\# (C^\# \llbracket b_2 \rrbracket \circ C^\# \llbracket e \nabla 0 \rrbracket) \mathcal{X}^\#$$

$$C^\# \llbracket \text{while } e \bowtie 0 \text{ do } b \text{ done} \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} \\ C^\# \llbracket e \nabla 0 \rrbracket (\text{lim } \lambda \mathcal{Y}^\#. \mathcal{Y}^\# \nabla (\mathcal{X}^\# \cup^\# (C^\# \llbracket b \rrbracket \circ C^\# \llbracket e \bowtie 0 \rrbracket) \mathcal{Y}^\#))$$

The derived analysis is sound and terminates.

Intervals domain

Intervals lattice

$$\mathcal{B}^\# \stackrel{\text{def}}{=} \{ [a, b] \mid a \in \mathbb{Q} \cup \{-\infty\}, b \in \mathbb{Q} \cup \{+\infty\}, a \leq b \}$$

[Cousot 76]

Galois connection: $\mathcal{P}(\mathbb{Q}) \begin{matrix} \xleftarrow{\gamma_b} \\ \xrightarrow{\alpha_b} \end{matrix} \mathcal{B}^\# \cup \{\perp^\#\}$

$$\gamma([a, b]) \stackrel{\text{def}}{=} \{ x \in \mathbb{Q} \mid a \leq x \leq b \}$$

$$\alpha(\mathcal{X}) \stackrel{\text{def}}{=} \begin{cases} \perp^\# & \text{if } \mathcal{X} = \emptyset \\ [\min \mathcal{X}, \max \mathcal{X}] & \text{otherwise} \end{cases}$$

(α is not always defined, but $\alpha \circ F \circ \gamma$ is generally defined)

Partial order:

$$[a, b] \stackrel{\text{def}}{\subseteq^\#} [c, d] \iff a \geq c \text{ and } b \leq d$$

$$\top^\# \stackrel{\text{def}}{=}] -\infty, +\infty[$$

$$[a, b] \stackrel{\text{def}}{\cup^\#} [c, d] \stackrel{\text{def}}{=} [\min(a, c), \max(b, d)]$$

$$[a, b] \stackrel{\text{def}}{\cap^\#} [c, d] \stackrel{\text{def}}{=} \begin{cases} [\max(a, c), \min(b, d)] & \text{if } \max \leq \min \\ \perp^\# & \text{otherwise} \end{cases}$$

Derived abstract domain

Pointwise lifting to an abstraction of $\mathcal{P}(\mathcal{V} \rightarrow \mathbb{Q})$:

$$\mathcal{D}^\# \stackrel{\text{def}}{=} (\mathcal{V} \rightarrow \mathcal{B}^\#) \cup \{\perp^\#\}$$

$$\top^\# \stackrel{\text{def}}{=} \lambda v. \top^\#$$

$$\mathcal{X}^\# \subseteq^\# \mathcal{Y}^\# \iff \mathcal{X}^\# = \perp^\# \vee (\mathcal{X}^\#, \mathcal{Y}^\# \neq \perp^\# \wedge \forall v: \mathcal{X}^\#(v) \subseteq^\# \mathcal{Y}^\#(v))$$

$$\mathcal{X}^\# \cup^\# \mathcal{Y}^\# \stackrel{\text{def}}{=} \begin{cases} \mathcal{Y}^\# & \text{if } \mathcal{X}^\# = \perp^\# \\ \mathcal{X}^\# & \text{if } \mathcal{Y}^\# = \perp^\# \\ \lambda v. \mathcal{X}^\#(v) \cup^\# \mathcal{Y}^\#(v) & \text{otherwise} \end{cases}$$

$$\mathcal{X}^\# \cap^\# \mathcal{Y}^\# \stackrel{\text{def}}{=} \begin{cases} \perp^\# & \text{if } \mathcal{X}^\# = \perp^\# \text{ or } \mathcal{Y}^\# = \perp^\# \\ \perp^\# & \text{if } \exists v: \mathcal{X}^\#(v) \cap^\# \mathcal{Y}^\#(v) = \perp^\# \\ \lambda v. \mathcal{X}^\#(v) \cap^\# \mathcal{Y}^\#(v) & \text{otherwise} \end{cases}$$

Interval abstract arithmetic operators

Based on **interval arithmetic** [Moore 66]

$$[c, c']^{\#} \stackrel{\text{def}}{=} [c, c']$$

$$-^{\#} [a, b] \stackrel{\text{def}}{=} [-b, -a]$$

$$[a, b] +^{\#} [c, d] \stackrel{\text{def}}{=} [a + c, b + d]$$

$$[a, b] -^{\#} [c, d] \stackrel{\text{def}}{=} [a - d, b - c]$$

$$[a, b] \times^{\#} [c, d] \stackrel{\text{def}}{=} [\min(ac, ad, bc, bd), \max(ac, ad, bc, bd)]$$

$$[a, b] /^{\#} [c, d] \stackrel{\text{def}}{=} \dots$$

where $\pm\infty \times 0 = 0$.

Interval abstract assignment

Abstract evaluation of expressions: $E^\# \llbracket e \rrbracket : \mathcal{D}^\# \rightarrow \mathcal{B}^\#$

$$E^\# \llbracket e \rrbracket \perp^\# \stackrel{\text{def}}{=} \perp^\#$$

if $\mathcal{X}^\# \neq \perp^\#$:

$$E^\# \llbracket [c, c'] \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} [c, c']^\#$$

$$E^\# \llbracket v \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} \mathcal{X}^\#(v)$$

$$E^\# \llbracket -e \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} -^\# E^\# \llbracket e \rrbracket \mathcal{X}^\#$$

$$E^\# \llbracket e_1 \diamond e_2 \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} E^\# \llbracket e_1 \rrbracket \mathcal{X}^\# \diamond^\# E^\# \llbracket e_2 \rrbracket \mathcal{X}^\#$$

Abstract assignment:

$$C^\# \llbracket v := e \rrbracket \mathcal{X}^\# \stackrel{\text{def}}{=} \begin{cases} \perp^\# & \text{if } \mathcal{V}^\# = \perp^\# \\ \mathcal{X}^\# [v \mapsto \mathcal{V}^\#] & \text{otherwise} \end{cases}$$

where $\mathcal{V}^\# = E^\# \llbracket e \rrbracket \mathcal{X}^\#$.

Note: $C^\# \llbracket V := e \rrbracket$ may not be optimal, even though each $\diamond^\#$ is.

Interval abstract tests

If $\mathcal{X}^\#(X) = [a, b]$ and $\mathcal{X}^\#(Y) = [c, d]$, we can define:

$$C^\#[[X - c \leq 0]] \mathcal{X}^\# \stackrel{\text{def}}{=} \begin{cases} \perp^\# & \text{if } a > c \\ \mathcal{X}^\#[X \mapsto [a, \min(b, c)]] & \text{otherwise} \end{cases}$$

$$C^\#[[X - Y \leq 0]] \mathcal{X}^\# \stackrel{\text{def}}{=} \begin{cases} \perp^\# & \text{if } a > d \\ \mathcal{X}^\#[X \mapsto [a, \min(b, d)], \\ \quad Y \mapsto [\max(c, a), d]] & \text{otherwise} \end{cases}$$

General case: constraint programming (HC4)

Note: fall-back operators

- $C^\#[[e \bowtie 0]] \mathcal{X}^\# = \mathcal{X}^\#$ is always sound
- $C^\#[[X := e]] \mathcal{X}^\# = \mathcal{X}^\#[X \mapsto \top^\#]$ is always sound

Interval widening

Widening on non-relational domains:

Given a value widening $\nabla: \mathcal{B}^\# \times \mathcal{B}^\# \rightarrow \mathcal{B}^\#$,
 we extend it point-wisely into a widening $\nabla: \mathcal{D}^\# \times \mathcal{D}^\# \rightarrow \mathcal{D}^\#$:

$$\mathcal{X}^\# \nabla \mathcal{Y}^\# \stackrel{\text{def}}{=} \lambda v. \mathcal{X}^\#(v) \nabla \mathcal{Y}^\#(v)$$

Interval widening example:

$$\perp^\# \nabla \mathcal{X}^\# \stackrel{\text{def}}{=} \mathcal{X}^\#$$

$$[a, b] \nabla [c, d] \stackrel{\text{def}}{=} \left[\begin{cases} a & \text{if } a \leq c \\ -\infty & \text{otherwise} \end{cases}, \begin{cases} b & \text{if } b \geq d \\ +\infty & \text{otherwise} \end{cases} \right]$$

Unstable bounds are set to $\pm\infty$

Analysis with widening example

```
X:=0;  
while • X<40 do  
  X:=X+3  
done
```

Analysis with widening example

```

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```

We must compute:

$$C^\# \llbracket X \geq 40 \rrbracket (\lim \lambda \mathcal{Y}^\# . \mathcal{Y}^\# \nabla (\mathcal{X}^\# \cup^\# C^\# \llbracket X := X+3 \rrbracket (C^\# \llbracket X < 40 \rrbracket \mathcal{Y}^\#)))$$

- $\mathcal{Y}_0^\# = \mathcal{X}^\# = [0, 0]$
- $\mathcal{Y}_1^\# = \mathcal{Y}_0^\# \nabla (\mathcal{X}^\# \cup^\# (\mathcal{Y}_0^\# +^\# [3, 3])) = [0, 0] \nabla ([0, 0] \cup^\# [3, 3]) = [0, +\infty]$
- $\mathcal{Y}_2^\# = \mathcal{Y}_1^\# \nabla (\mathcal{X}^\# \cup^\# (\mathcal{Y}_1^\# +^\# [3, 3])) = [0, +\infty] \nabla ([0, 0] \cup^\# [3, 42]) = \mathcal{Y}_1^\#$
- $C^\# \llbracket X \geq 40 \rrbracket (\mathcal{Y}_2^\#) = [42, +\infty]$

Decreasing iterations: to improve the precision

- after stabilization, continue iterating without ∇ (use \cap)
- in our case, $\mathcal{Y}_3^\# = [0, 42]$, so $C^\# \llbracket X \geq 40 \rrbracket (\mathcal{Y}_3^\#) = [40, 42]$

Polyhedra Domain

The need for relational domains

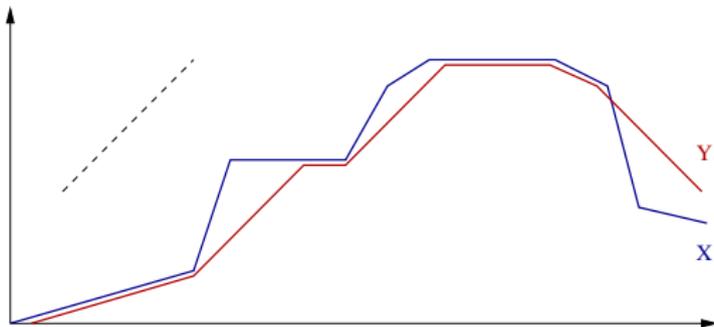
Non-relation domains cannot represent variable **relationships**

Rate limiter

```

Y:=0; while • true do
  X:=[-128,128]; D:=[0,16];
  S:=Y; Y:=X; R:=X-S;
  if R<=-D then Y:=S-D fi;
  if R>=D then Y:=S+D fi
done
  
```

X: input signal
 Y: output signal
 S: last output
 R: delta Y-S
 D: max. allowed for |R|



The need for relational domains

Non-relation domains cannot represent variable **relationships**

Rate limiter

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Y:=0; while • true do
  X:=[-128,128]; D:=[0,16];
  S:=Y; Y:=X; R:=X-S;
  if R<=-D then Y:=S-D fi;
  if R>=D then Y:=S+D fi
done
  
```

X: input signal
 Y: output signal
 S: last output
 R: delta Y-S
 D: max. allowed for |R|

Iterations in the interval domain (without widening):

$x^{\#0}$	$x^{\#1}$	$x^{\#2}$...	$x^{\#n}$
$Y = 0$	$ Y \leq 144$	$ Y \leq 160$...	$ Y \leq 128 + 16n$

In fact, $Y \in [-128, 128]$ always holds.

To prove that, e.g. $Y \geq -128$, we must be able to:

- **represent** the properties $R = X - S$ and $R \leq -D$
- **combine** them to deduce $S - X \geq D$, and then $Y = S - D \geq X$

Polyhedra domain

Domain proposed by [Cousot Halbwachs 78]

to infer conjunctions of affine inequalities $\bigwedge_j (\sum_{i=1}^n \alpha_{ij} v_i \geq \beta_j)$.

Abstract elements:

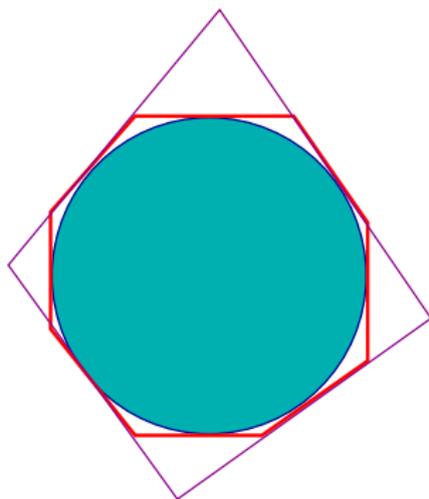
- $LinCons \stackrel{\text{def}}{=} \text{affine constraints over } \mathcal{V} \text{ with coefficients in } \mathbb{Q}$
- $\mathcal{D}^\# \stackrel{\text{def}}{=} \mathcal{P}_{\text{finite}}(LinCons)$

Concretization:

$$\gamma(\mathcal{X}^\#) \stackrel{\text{def}}{=} \{ \rho \in \mathcal{V} \rightarrow \mathbb{Q} \mid \forall c \in \mathcal{X}^\#, \rho \models c \}$$

- $\gamma(\mathcal{X}^\#)$ is a **closed convex polyhedron** of $(\mathcal{V} \rightarrow \mathbb{Q}) \simeq \mathbb{Q}^{|\mathcal{V}|}$
- $\gamma(\mathcal{X}^\#)$ may be empty, bounded, or **unbounded**
- γ is **not injective**

Polyhedra representations



- **No memory bound** on the representations (even minimal ones)
- No best abstraction α
- Dual representation using generators
(double description method)

Polyhedra algorithms

Fourier-Motzkin elimination:

Fourier(\mathcal{X}^\sharp, V_k) eliminates V_k from all the constraints in \mathcal{X}^\sharp :

$$\begin{aligned}
 \text{Fourier}(\mathcal{X}^\sharp, V_k) &\stackrel{\text{def}}{=} \\
 &\{ (\sum_i \alpha_i V_i \geq \beta) \in \mathcal{X}^\sharp \mid \alpha_k = 0 \} \cup \\
 &\{ (-\alpha_k^-)c^+ + \alpha_k^+c^- \mid c^+ = (\sum_i \alpha_i^+ V_i \geq \beta^+) \in \mathcal{X}^\sharp, \alpha_k^+ > 0, \\
 &\quad c^- = (\sum_i \alpha_i^- V_i \geq \beta^-) \in \mathcal{X}^\sharp, \alpha_k^- < 0 \}
 \end{aligned}$$

Semantics

$$\gamma(\text{Fourier}(\mathcal{X}^\sharp, V_k)) = \{ \rho[V_k \mapsto v] \mid v \in \mathbb{Q}, \rho \in \gamma(\mathcal{X}^\sharp) \}$$

i.e., forget the value of V_k

Polyhedra algorithms

Linear programming:

$$\mathit{simplex}(\mathcal{X}^\#, \vec{\alpha}) \stackrel{\text{def}}{=} \min \{ \sum_i \alpha_i \rho(\mathbf{v}_i) \mid \rho \in \gamma(\mathcal{X}^\#) \}$$

Application: remove **redundant constraints**:

for each $c = (\sum_i \alpha_i \mathbf{v}_i \geq \beta) \in \mathcal{X}^\#$

if $\beta \leq \mathit{simplex}(\mathcal{X}^\# \setminus \{c\}, \vec{\alpha})$, then remove c from $\mathcal{X}^\#$

(e.g., *Fourier* causes a quadratic growth in constraint number, most of which are redundant)

Note: calling *simplex* many times can be **costly**

- use fast syntactic checks first
- check against the bounding-box first
- use *simplex* as a last resort

Polyhedra abstract operators

Order: $\subseteq^\#$

$$\mathcal{X}^\# \subseteq^\# \mathcal{Y}^\# \stackrel{\text{def}}{\iff} \forall (\sum_i \alpha_i \mathbf{v}_i \geq \beta) \in \mathcal{Y}^\#, \text{simplex}(\mathcal{X}^\#, \vec{\alpha}) \geq \beta$$

$$\stackrel{\text{def}}{\iff} \gamma(\mathcal{X}^\#) \subseteq \gamma(\mathcal{Y}^\#)$$

$$\mathcal{X}^\# =^\# \mathcal{Y}^\# \stackrel{\text{def}}{\iff} \mathcal{X}^\# \subseteq^\# \mathcal{Y}^\# \wedge \mathcal{Y}^\# \subseteq^\# \mathcal{X}^\#$$

Polyhedra abstract operators (cont.)

Convex hull:

- Express a point $\vec{V} \in \mathcal{X}^\# \cup \mathcal{Y}^\#$ as a **convex combination**:

$$\vec{V} = \sigma \vec{X} + \sigma' \vec{Y} \text{ for } \vec{X} \in \mathcal{X}^\#, \vec{Y} \in \mathcal{Y}^\#, \sigma + \sigma' = 1, \sigma, \sigma' \geq 0$$

- as $\sigma \vec{X} + \sigma' \vec{Y}$ is **quadratic**

we consider instead: $\vec{V} = \vec{X} + \vec{Y}$ with $\vec{X}/\sigma \in \mathcal{X}^\#, \vec{Y}/\sigma' \in \mathcal{Y}^\#$

i.e., $\vec{X} \in \sigma \mathcal{X}^\#, \vec{Y} \in \sigma' \mathcal{Y}^\#$

(adds closure points on unbounded polyhedra)

Formally:

$$\mathcal{X}^\# \cup \mathcal{Y}^\# \stackrel{\text{def}}{=} \text{Fourier}(\dots)$$

$$\begin{aligned} & \{ (\sum_j \alpha_j \mathbf{X}_j - \beta \sigma \geq 0) \mid (\sum_j \alpha_j \mathbf{V}_j \geq \beta) \in \mathcal{X}^\# \} \cup \\ & \{ (\sum_j \alpha_j \mathbf{Y}_j - \beta \sigma' \geq 0) \mid (\sum_j \alpha_j \mathbf{V}_j \geq \beta) \in \mathcal{Y}^\# \} \cup \\ & \{ \mathbf{V}_j = \mathbf{X}_j + \mathbf{Y}_j \mid \mathbf{V}_j \in \mathcal{V} \} \cup \{ \sigma \geq 0, \sigma' \geq 0, \sigma + \sigma' = 1 \}, \\ & \{ \mathbf{X}_j, \mathbf{Y}_j \mid \mathbf{V}_j \in \mathcal{V} \} \cup \{ \sigma, \sigma' \} \end{aligned}$$

[Benoi et al. 96]

Polyhedra abstract operators (cont.)

Precise abstract commands: (exact)

$$C^\sharp[\sum_i \alpha_i v_i + \beta \leq 0] \mathcal{X}^\sharp \stackrel{\text{def}}{=} \mathcal{X}^\sharp \cup \{(\sum_i \alpha_i v_i + \beta \leq 0)\}$$

$$C^\sharp[v_j := [-\infty, +\infty]] \mathcal{X}^\sharp \stackrel{\text{def}}{=} \text{Fourier}(\mathcal{X}^\sharp, v_j)$$

$$C^\sharp[v_j := \sum_i \alpha_i v_i + \beta] \mathcal{X}^\sharp \stackrel{\text{def}}{=} \text{subst}(v \mapsto v_i, \text{Fourier}((\mathcal{X}^\sharp \cup \{v = \sum_i \alpha_i v_i + \beta\}), v_j))$$

Fallback abstract commands: (coarse but sound)

$$C^\sharp[e \leq 0] \mathcal{X}^\sharp \stackrel{\text{def}}{=} \mathcal{X}^\sharp$$

$$C^\sharp[v_j := e] \mathcal{X}^\sharp \stackrel{\text{def}}{=} \text{Fourier}(\mathcal{X}^\sharp, v_j)$$

alternate solution:

apply interval abstract commands to the bounding box

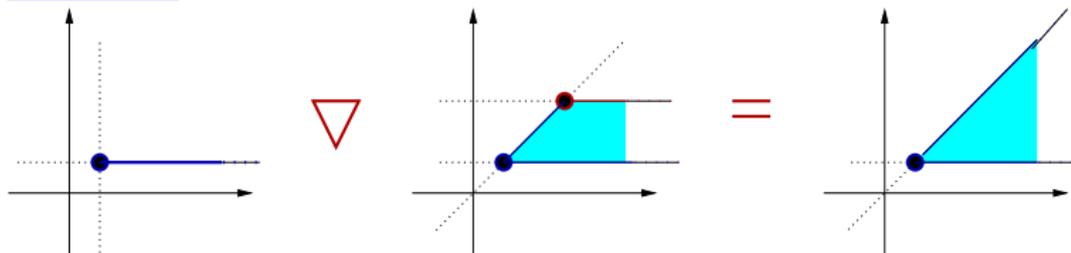
Polyhedra widening

Classic widening ∇ in $\mathcal{D}^\#$

$$\mathcal{X}^\# \nabla \mathcal{Y}^\# \stackrel{\text{def}}{=} \left\{ c \in \mathcal{X}^\# \mid \mathcal{Y}^\# \subseteq^\# \{c\} \right\} \cup \left\{ c \in \mathcal{Y}^\# \mid \exists c' \in \mathcal{X}^\#, \mathcal{X}^\# =^\# (\mathcal{X}^\# \setminus c') \cup \{c\} \right\}$$

- suppress unstable constraints $c \in \mathcal{X}^\#, \mathcal{Y}^\# \not\subseteq^\# \{c\}$
- add back constraints $c \in \mathcal{Y}^\#$ equivalent to those in $\mathcal{X}^\#$
i.e., when $\exists c' \in \mathcal{X}^\#, \mathcal{X}^\# =^\# (\mathcal{X}^\# \setminus c') \cup \{c\}$.
($\mathcal{X}^\#$ and $\mathcal{Y}^\#$ must have no redundant constraint)

Example:



Floating-point domains

Floating-point uses

Two **independent** problems:

- **Implement the analyzer using floating-point**

goal: trade precision for efficiency

exact rational arithmetic can be costly
coefficients can grow large (polyhedra)

- **Analyze floating-point programs**

goal: catch run-time errors caused by rounding
(overflow, division by 0, ...)

Also: a floating-point analyzer for floating-point programs.

Challenge: how to stay **sound**?

Floating-point computations

- The set of floating-point numbers is not closed under $+$, $-$, \times , $/$:
- every result is **rounded** to a representable float,
 - an overflow or division by 0 generates $+\infty$ or $-\infty$ (**overflow**);
 - small numbers are truncated to $+0$ or -0 (**underflow**);
 - some operations are **invalid** ($0/0$, $(+\infty) + (-\infty)$, etc.) and return *NaN*.

Observable semantics:

- **overflows** and **NaNs** halt the program with an error \mathcal{O} ,
 - rounding and underflow are not errors,
 - we do not distinguish between $+0$ and -0 .
- \implies variable values live in a finite subset \mathbb{F} of \mathbb{Q} ,
expression values live in $\mathbb{F} \cup \{\mathcal{O}\}$.

Floating-point expressions

Floating-point expressions exp_f

exp_f	::=	$[c, c']$	constant range $c, c' \in \mathbb{F}, c \leq c'$
		V	variable $V \in \mathcal{V}$
		$\ominus \text{exp}_f$	negation
		$\text{exp}_f \odot_r \text{exp}_f$	operator $\odot \in \{\oplus, \ominus, \otimes, \oslash\}$

(we use circled operators to distinguish them from operators in \mathbb{Q})

Concrete semantics of expressions

Semantics of rounding: $R_r: \mathbb{Q} \rightarrow \mathbb{F} \cup \{\mathcal{O}\}$.

Example definition:

$$R_{+\infty}(x) \stackrel{\text{def}}{=} \begin{cases} \min \{ y \in \mathbb{F} \mid y \geq x \} & \text{if } x \leq Mf \\ \mathcal{O} & \text{if } x > Mf \end{cases}$$

$$R_{-\infty}(x) \stackrel{\text{def}}{=} \begin{cases} \max \{ y \in \mathbb{F} \mid y \leq x \} & \text{if } x \geq -Mf \\ \mathcal{O} & \text{if } x < -Mf \end{cases}$$

Notes:

- $\forall x, r, R_{-\infty}(x) \leq R_r(x) \leq R_{+\infty}(x)$
- $\forall r, R_r$ is **monotonic**

Concrete semantics of expressions (cont.)

$\underline{E[e_f]} : (\mathcal{V} \rightarrow \mathbb{F}) \rightarrow \mathcal{P}(\mathbb{F} \cup \{\mathcal{O}\})$ (expression semantics)

$$\begin{aligned}
 E[\mathbf{V}] \rho &\stackrel{\text{def}}{=} \{ \rho(\mathbf{V}) \} \\
 E[[c, c']] \rho &\stackrel{\text{def}}{=} \{ x \in \mathbb{F} \mid c \leq x \leq c' \} \\
 E[\ominus e_f] \rho &\stackrel{\text{def}}{=} \{ -x \mid x \in E[e_f] \rho \cap \mathbb{F} \} \cup (\{ \mathcal{O} \} \cap E[e_f] \rho) \\
 E[e_f \odot_r e'_f] \rho &\stackrel{\text{def}}{=} \\
 &\quad \{ R_r(x \cdot y) \mid x \in E[e_f] \rho \cap \mathbb{F}, y \in E[e'_f] \rho \cap \mathbb{F} \} \cup \\
 &\quad \{ \mathcal{O} \mid \text{if } \mathcal{O} \in E[e_f] \rho \cup E[e'_f] \rho \} \\
 &\quad \{ \mathcal{O} \mid \text{if } 0 \in E[e'_f] \rho \text{ and } \odot = \emptyset \}
 \end{aligned}$$

$\underline{C[c]} : \mathcal{P}(\mathcal{V} \rightarrow \mathbb{F}) \rightarrow \mathcal{P}((\mathcal{V} \rightarrow \mathbb{F}) \cup \{\mathcal{O}\})$ (command semantics)

$$\begin{aligned}
 C[\mathbf{X} := e_f] \mathcal{X} &\stackrel{\text{def}}{=} \{ \rho[\mathbf{X} \mapsto v] \mid \rho \in \mathcal{X}, v \in E[e_f] \rho \cap \mathbb{F} \} \\
 &\quad \cup (\{ \mathcal{O} \} \cap E[e_f] \mathcal{X}) \\
 C[e_f \leq 0] \mathcal{X} &\stackrel{\text{def}}{=} \{ \rho \mid \rho \in \mathcal{X}, \exists v \in E[e_f] \rho \cap \mathbb{F}, v \leq 0 \} \\
 &\quad \cup (\{ \mathcal{O} \} \cap E[e_f] \mathcal{X})
 \end{aligned}$$

Floating-point interval domain

Representation: $\mathcal{B}^\# \stackrel{\text{def}}{=} \{ [a, b] \mid a \in \mathbb{F}, b \in \mathbb{F}, a \leq b \}$

Expression semantics: $E^\# \llbracket \text{exp}_f \rrbracket : (\mathcal{V} \rightarrow \mathcal{B}^\#) \rightarrow (\mathcal{B}^\# \cup \{ \mathcal{O} \})$

$$[a, b] \oplus^\# [a', b'] \stackrel{\text{def}}{=} [R_{-\infty}(a + a'), R_{+\infty}(b + b')]$$

$$[a, b] \ominus^\# [a', b'] \stackrel{\text{def}}{=} [R_{-\infty}(a - b'), R_{+\infty}(b - a')]$$

$$[a, b] \otimes^\# [a', b'] \stackrel{\text{def}}{=} [R_{-\infty}(\min(aa', ab', ba', bb')), \\ R_{+\infty}(\max(aa', ab', ba', bb'))]$$

- We suppose r is unknown and assume a worst case rounding.
- Soundness stems from the monotonicity of $R_{-\infty}$ and $R_{+\infty}$.
- Abstract operators also use float arithmetic (efficiency).

Error management

If some bound in $E^\# \llbracket \text{exp}_f \rrbracket$ evaluates to \mathcal{O} , we

- report the error to the user, and
- continue the evaluation with $\top^\#$.

Expression linearization

Floating-point issues in relational domains

Relational domains assume many powerful **properties** on \mathbb{Q} :
 associativity, distributivity, . . . that are **not true on \mathbb{F}** !

Example: Fourier-Motzkin elimination

$$X - Y \leq c \quad \wedge \quad Y - Z \leq d \quad \implies \quad X - Z \leq c + d$$

$$X \ominus_n Y \leq c \quad \wedge \quad Y \ominus_n Z \leq d \quad \not\implies \quad X \ominus_n Z \leq c \oplus_n d$$

$$(X = 1, Y = 10^{38}, Z = -1, c = X \ominus_n Y = -10^{38}, \\ d = Y \ominus_n Z = 10^{38}, c \oplus_n d = 0, X \ominus_n Z = 2 > 0)$$

We cannot manipulate float expressions as easily as rational ones!

Solution:

keep representing and manipulating rational expressions

- abstract **float** expressions from programs into **rational** ones
- feed them to a **rational** abstract domain
- (optional) **implement** the **rational** domain using **floats**

Affine interval forms

We put expressions in **affine interval form**: [Miné 04]

$$\text{exp}_\ell ::= [a_0, b_0] + \sum_k [a_k, b_k] \times \mathbf{v}_k$$

Semantics:

$$\mathbb{E}[\![e_\ell]\!] \rho \stackrel{\text{def}}{=} \{ c_0 + \sum_k c_k \times \rho(\mathbf{v}_k) \mid \forall i, c_i \in [a_i, b_i] \}$$

(evaluated in \mathbb{Q})

Advantages:

- **affine** expressions are easy to manipulate
- **interval coefficients** allow non-determinism in expressions, hence, the opportunity for **abstraction**
- **intervals** can easily model **rounding errors**
- easy to design algorithms for $C^\sharp[\![X := e_\ell]\!]$ and $C^\sharp[\![e_\ell \leq 0]\!]$ in most domains

Affine interval form algebra

Operations on affine interval forms:

- adding \boxplus and subtracting \boxminus two forms
- multiplying \boxtimes and dividing \boxdiv a form by an interval

Using interval arithmetic \oplus^\sharp , \ominus^\sharp , \otimes^\sharp , \oslash^\sharp :

$$(i_0 + \sum_k i_k \times v_k) \boxplus (i'_0 + \sum_k i'_k \times v_k) \stackrel{\text{def}}{=} (i_0 \oplus^\sharp i'_0) + \sum_k (i_k \oplus^\sharp i'_k) \times v_k$$

$$i \boxminus (i_0 + \sum_k i_k \times v_k) \stackrel{\text{def}}{=} (i \ominus^\sharp i_0) + \sum_k (i \ominus^\sharp i_k) \times v_k$$

...

Projection: $\pi_k : \mathcal{D}^\sharp \rightarrow \text{exp}_\ell$

We suppose we are given an **abstract interval projection** operator π_k such that:

$$\pi_k(\mathcal{X}^\sharp) = [a, b] \text{ such that } [a, b] \supseteq \{ \rho(v_k) \mid \rho \in \gamma(\mathcal{X}^\sharp) \}.$$

Linearization of rational expressions

Intervalization: $\iota : (\text{exp}_\ell \times \mathcal{D}^\#) \rightarrow \text{exp}_\ell$

Intervalization flattens the expression into a single interval:

$$\iota(i_0 + \sum_k i_k \times v_k, \mathcal{X}^\#) \stackrel{\text{def}}{=} i_0 \oplus^\# \sum_k^\# (i_k \otimes^\# \pi_k(\mathcal{X}^\#)).$$

Linearization without rounding errors: $\ell : (\text{exp} \times \mathcal{D}^\#) \rightarrow \text{exp}_\ell$

Defined by induction on the syntax of expressions:

- $\ell(v, \mathcal{X}^\#) \stackrel{\text{def}}{=} [1, 1] \times v$
- $\ell([a, b], \mathcal{X}^\#) \stackrel{\text{def}}{=} [a, b]$
- $\ell(e_1 + e_2, \mathcal{X}^\#) \stackrel{\text{def}}{=} \ell(e_1, \mathcal{X}^\#) \boxplus \ell(e_2, \mathcal{X}^\#)$
- $\ell(e_1 - e_2, \mathcal{X}^\#) \stackrel{\text{def}}{=} \ell(e_1, \mathcal{X}^\#) \boxminus \ell(e_2, \mathcal{X}^\#)$
- $\ell(e_1 / e_2, \mathcal{X}^\#) \stackrel{\text{def}}{=} \ell(e_1, \mathcal{X}^\#) \boxtimes \iota(\ell(e_2, \mathcal{X}^\#), \mathcal{X}^\#)$
- $\ell(e_1 \times e_2, \mathcal{X}^\#) \stackrel{\text{def}}{=} \text{can be } \begin{cases} \text{either } & \iota(\ell(e_1, \mathcal{X}^\#), \mathcal{X}^\#) \boxtimes \ell(e_2, \mathcal{X}^\#) \\ \text{or} & \iota(\ell(e_2, \mathcal{X}^\#), \mathcal{X}^\#) \boxtimes \ell(e_1, \mathcal{X}^\#) \end{cases}$

Linearization of floating-point expressions

Rounding an affine interval form: (32-bit single precision)

- if the result is normalized: we have a **relative error** ε with magnitude 2^{-23} :

$$\varepsilon([a_0, b_0] + \sum_k [a_k, b_k] \times v_k) \stackrel{\text{def}}{=} \max(|a_0|, |b_0|) \times [-2^{-23}, 2^{-23}] + \sum_k (\max(|a_k|, |b_k|) \times [-2^{-23}, 2^{-23}] \times v_k)$$

- if the result is denormalized, we have an **absolute error** $\omega \stackrel{\text{def}}{=} [-2^{-159}, 2^{-159}]$.

⇒ we sum these two sources of rounding errors

Linearization with rounding errors: $\ell : (\text{exp}_f \times \mathcal{D}^\#) \rightarrow \text{exp}_\ell$

$$\ell(e_1 \oplus_r e_2, \mathcal{X}^\#) \stackrel{\text{def}}{=} \ell(e_1, \mathcal{X}^\#) \boxplus \ell(e_2, \mathcal{X}^\#) \boxplus \varepsilon(\ell(e_1, \mathcal{X}^\#)) \boxplus \varepsilon(\ell(e_2, \mathcal{X}^\#)) \boxplus \omega$$

$$\ell(e_1 \otimes_r e_2, \mathcal{X}^\#) \stackrel{\text{def}}{=} \iota(\ell(e_1, \mathcal{X}^\#), \mathcal{X}^\#) \boxtimes (\ell(e_2, \mathcal{X}^\#) \boxplus \varepsilon(\ell(e_2, \mathcal{X}^\#))) \boxplus \omega$$

...

Applications of the floating-point linearization

Soundness of the linearization

$\forall e, \forall \mathcal{X}^\# \in \mathcal{D}^\#, \forall \rho \in \gamma(\mathcal{X}^\#),$

if $\mathcal{O} \notin E[e] \rho$, then $E[e] \rho \subseteq E[\ell(e, \mathcal{X}^\#)] \rho$

Application: $C^\#[V := e] \mathcal{X}^\#$

- check that $\mathcal{O} \notin E[e] \rho$ for $\rho \in \gamma(\mathcal{X}^\#)$ with interval arithmetic
- compute $C^\#[V := e] \mathcal{X}^\#$ as $C^\#[V := \ell(e, \mathcal{X}^\#)] \mathcal{X}^\#$
- (use $C^\#[V := [-Mf, Mf]] \mathcal{X}^\#$ if $\mathcal{O} \in E[e] \rho$)

Sound floating-point polyhedra

Sound floating-point polyhedra

Algorithms to adapt: [Chen al. 08]

- **linear programming:**

$$\mathit{simplex}_f(\mathcal{X}^\#, \vec{\alpha}) \leq \mathit{simplex}(\mathcal{X}^\#, \vec{\alpha})$$

$$\mathit{simplex}(\mathcal{X}^\#, \vec{\alpha}) \stackrel{\text{def}}{=} \min \{ \sum_k \alpha_k \rho(\mathbf{v}_k) \mid \rho \in \gamma(\mathcal{X}^\#) \}$$

- **Fourier-Motzkin elimination:**

$$\mathit{Fourier}_f(\mathcal{X}^\#, \mathbf{v}_k) \Leftarrow \mathit{Fourier}(\mathcal{X}^\#, \mathbf{v}_k)$$

$$\mathit{Fourier}(\mathcal{X}^\#, \mathbf{v}_k) \stackrel{\text{def}}{=} \{ (\sum_i \alpha_i \mathbf{v}_i \geq \beta) \in \mathcal{X}^\# \mid \alpha_k = 0 \} \cup$$

$$\{ (-\alpha_k^-)c^+ + \alpha_k^+c^- \mid c^+ = (\sum_i \alpha_i^+ \mathbf{v}_i \geq \beta^+) \in \mathcal{X}^\#, \alpha_k^+ > 0, \\ c^- = (\sum_i \alpha_i^- \mathbf{v}_i \geq \beta^-) \in \mathcal{X}^\#, \alpha_k^- < 0 \}$$

Sound floating-point linear programming

Guaranteed linear programming: [Neumaier Shcherbina 04]

Goal: **under-approximate** $\mu = \min \{ \vec{c} \cdot \vec{x} \mid \mathbf{M} \times \vec{x} \leq \vec{b} \}$

knowing that $\vec{x} \in [\vec{x}_l, \vec{x}_h]$ (bounding-box for $\gamma(\mathcal{X}^\sharp)$).

- compute any approximation $\tilde{\mu}$ of the **dual problem**:

$$\tilde{\mu} \simeq \mu = \max \{ \vec{b} \cdot \vec{y} \mid {}^t\mathbf{M} \times \vec{y} = \vec{c}, \vec{y} \leq \vec{0} \}$$

and the corresponding vector \vec{y}

(e.g. using an off-the-shelf solver; $\tilde{\mu}$ may over-approximate or under-approximate μ)

- compute with intervals safe bounds $[\vec{r}_l, \vec{r}_h]$ for $\mathbf{A} \times \vec{y} - \vec{c}$:

$$[\vec{r}_l, \vec{r}_h] = ({}^t\mathbf{A} \otimes^\sharp \vec{y}) \ominus^\sharp \vec{c}$$

and then:

$$\nu = \inf((\vec{b} \otimes^\sharp \vec{y}) \ominus^\sharp ([\vec{r}_l, \vec{r}_h] \otimes^\sharp [\vec{x}_l, \vec{x}_h]))$$

then: $\nu \leq \mu$.

Sound floating-point Fourier-Motzkin elimination

Given:

- $c^+ = (\sum_i \alpha_i^+ v_i \geq \beta^+)$ with $\alpha_k^+ > 0$
- $c^- = (\sum_i \alpha_i^- v_i \geq \beta^-)$ with $\alpha_k^- < 0$
- a bounding-box of $\gamma(\mathcal{X}^\#)$: $[\vec{x}_l, \vec{x}_h]$

We wish to compute $\sum_{i \neq k} \alpha_i v_i \geq \beta$ in \mathbb{F}
 implied by $(-\alpha_k^-)c^+ + \alpha_k^+c^-$ in $\gamma(\mathcal{X}^\#)$.

- **normalize** c^+ and c^- using interval arithmetic:

$$\begin{cases} v_k + \sum_{i \neq k} (\alpha_i^+ \otimes^\# \alpha_k^+) v_i \geq \beta^+ \otimes^\# \alpha_k^+ \\ -v_k + \sum_{i \neq k} (\alpha_i^- \otimes^\# (-\alpha_k^-)) v_i \geq \beta^- \otimes^\# (-\alpha_k^-) \end{cases}$$

(interval affine forms)

- **add** them using interval arithmetic:

$$\sum_{i \neq k} [a_i, b_i] v_i \geq [a_0, b_0]$$

where $[a_i, b_i] = (\alpha_i^+ \otimes^\# \alpha_k^+) \ominus^\# (\alpha_i^- \otimes^\# \alpha_k^-)$,

$[a_0, b_0] = (\beta^+ \otimes^\# \alpha_k^+) \ominus^\# (\beta^- \otimes^\# \alpha_k^-)$.

Sound floating-point Fourier-Motzkin elimination (cont.)

- **linearize** the interval affine form $\sum_{i \neq k} [a_i, b_i] \mathbf{v}_i \geq [a_0, b_0]$ into an affine form $\sum_{i \neq k} \alpha_i \mathbf{v}_i \geq \beta$

we choose:

- $\alpha_i \in [a_i, b_i]$
- $\beta = \sup ([a_0, b_0] \oplus^\# \bigoplus_{i \neq k}^\# (|\alpha_i \ominus^\# [a_i, b_i]|) \otimes^\# |[\vec{x}_l, \vec{x}_h]|)$

Soundness:

For all choices of $\alpha_i \in [a_i, b_i]$,
 $\sum_{i \neq k} \alpha_i \mathbf{v}_k \geq \beta$ holds in $\text{Fourier}(\mathcal{X}^\#, \mathbf{v}_k)$.
 (e.g. $\alpha_i = (a_i \oplus_n b_i) \oslash 2$)

Consequences of rounding

Precision loss:

- Projection:

$$\begin{aligned} \gamma(\text{Fourier}_f(\mathcal{X}^\#, \mathbf{V}_k)) &\supseteq \{ \rho[\mathbf{V}_k \mapsto \mathbf{v}] \mid \mathbf{v} \in \mathbb{Q}, \rho \in \gamma(\mathcal{X}^\#) \} \\ &= \\ &\text{C}[\mathbf{V}_k := [-\infty, +\infty]] \gamma(\mathcal{X}^\#) \end{aligned}$$

- Order:

$$\mathcal{X}^\# \subseteq^\# \mathcal{Y}^\# \implies \gamma(\mathcal{X}^\#) \subseteq \gamma(\mathcal{Y}^\#) \quad (\neq)$$

- Join:

$$\gamma(\mathcal{X}^\# \cup^\# \mathcal{Y}^\#) \supseteq \text{ConvexHull}(\gamma(\mathcal{X}^\#) \cup \gamma(\mathcal{Y}^\#)) \quad (\neq)$$

Efficiency loss:

- cannot remove all redundant constraints

Abstraction summary

Floating-point polyhedra analyzer for floating-point programs

expression abstraction

float expression e_f

↓ linearization

affine form e_ℓ in \mathbb{Q}

↓ float implementation

affine form e_ℓ in \mathbb{F}

environment abstraction

$\mathcal{P}(\mathcal{V} \rightarrow \mathbb{F})$

↓ abstract domain

polyhedra in \mathbb{Q}

→ ↓ float implementation

polyhedra in \mathbb{F}

↓ widening

polyhedra in \mathbb{F}

Binary Representation Aware Domains

Integer Abstractions

Handling integer casts

Compute-through-overflow

```
signed char x, y; /* in [-1,1] */  
(signed char) ( (unsigned char) x + (unsigned char) y )
```

Handling integer casts

Compute-through-overflow

```
signed char x, y; /* in [-1,1] */  
(signed char) ( (unsigned char) x + (unsigned char) y )
```

Concrete semantics:

- **conversion** signed char \rightarrow unsigned char
 \implies **overflows**, and maps $\{-1, 0, 1\}$ to $\{0, 1, 255\}$
- integer **promotion**: unsigned char \rightarrow int
 \implies value preserving
- **addition** in int: $\implies \{0, 1, 2, 255, 256, 510\}$
- **conversion** int \rightarrow signed char
 \implies **overflows**, and returns $\{-2, -1, 0, 1, 2\}$

Handling integer casts

Compute-through-overflow

```
signed char x, y; /* in [-1,1] */  
(signed char) ( (unsigned char) x + (unsigned char) y )
```

Interval semantics:

- **conversion** signed char \rightarrow unsigned char
 \implies **overflows**, and maps $[-1, 1]$ to $[0, 255]$
 \implies all precision is lost
- the final result is $[-128, 127]$

Issue:

the actual result $[-2, 2]$ is representable in the interval domain
but the intermediate results are not! (not convex)

Handling integer casts

Compute-through-overflow

```
signed char x, y; /* in [-1,1] */
(signed char) ( (unsigned char) x + (unsigned char) y )
```

Modular interval domain:

invariants $[l, h] + k\mathbb{Z}$, $k \in \mathbb{N}$ (no hypothesis on bit-sizes of types)

- **conversion** signed char \rightarrow unsigned char
 \implies **overflows**, and maps $[-1, 1]$ to $[-1, 1] + 256\mathbb{Z}$
- integer **promotion**: unsigned char \rightarrow int
 \implies value preserving
- **addition** in int: $\implies [-2, 2] + 256\mathbb{Z}$
- **conversion** int \rightarrow signed char
 \implies **overflows**, and returns $[-2, 2]$

Handling integer casts

Compute-through-overflow

```
signed char x, y; /* in [-1,1] */
(signed char) ( (unsigned char) x + (unsigned char) y )
```

Modular interval domain:

no Galois connection (no best abstraction)

- $[\ell, h] + 0\mathbb{Z}$ handed exactly as classic intervals
- $+^\#, -^\#, \times^\#, \cup^\#$ handed precisely
e.g., $([\ell, h] + k\mathbb{Z}) +^\# ([\ell', h'] + k'\mathbb{Z}) = [\ell + \ell', h + h'] + \gcd(k, k')\mathbb{Z}$
- wrap-around: $\text{wrap}^\#([\ell, h] + k\mathbb{Z}, [a, b]) =$
 - $[\text{wrap}(\ell, [a, b]), \text{wrap}(h, [a, b])] + 0\mathbb{Z}$
if $[\ell, h] + k\mathbb{Z}$ does not cross $a + (b - a)\mathbb{Z}$
 - $[\ell, h] + \gcd(k, b - a + 1)\mathbb{Z}$
otherwise
- otherwise use interval information

(reduced product)

Handling implicit integer casts

Code example

```
signed char x, y, z;  
unsigned register r1, r2, r3;  
r1 = x; r2 = y;  
r3 = r1 + r2;  
z = r3;
```

Handling implicit integer casts

Code example

```
signed char x, y, z;  
unsigned register r1, r2, r3;  
r1 = (unsigned) x; r2 = (unsigned) y;  
r3 = r1 + r2;  
z = (signed char) r3;
```

Use a pool of register variables to perform all computations
type mismatch \implies overflows and imprecision

- more difficult to detect by syntactic filters
(implicit casts, computations spread on several instructions)
- can also be handled by modular integers
- also a common pattern in embedded software
(manual register allocation, helps binary traceability)

Low-Level Memory Abstraction

Low-level memory access examples

Union

```
union {
    struct { uint8 al,ah,b1,bh } b;
    struct { uint16 ax,bx } w;
} r;
r.w.ax = 258;
if (r.b.al==2) r.b.al++;
```

Type-punning

```
uint8 buf[4] = { 1,2,3,4 };
uint32 i = *((uint32*)buf);
```

Fast copy

```
float a,b;
*((int*)&a) = *((int*)&b);
```

C standard: ill-typed programs, **undefined** behavior

In practice:

- there is **no error**
- the semantics is **well-defined**

(ABI specification)

Low-level memory semantics

Concrete semantics: defined at the bit level

Abstract semantics:

decompose **dynamically** the memory into **cells** of scalar type:

- cell = variable, offset, and scalar type
- **materialize** new cells when needed by a dereference
(possible reduction with existing cells)
- allow overlapping cells, with an **intersection** semantics

Orthogonality:

- **memory domain:** maps variables \mathcal{V} to **cells** \mathcal{C}
- **scalar domains:** collections of independent **cells** $\mathcal{C} \rightarrow \text{Val}$

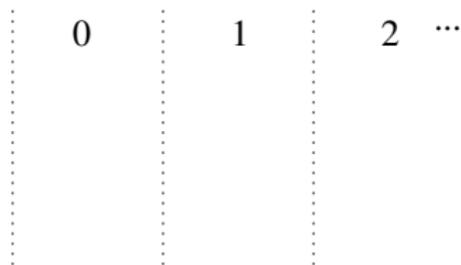
Pointers:

- **concrete:** semi-symbolic values: base $\in \mathcal{V}$ and offset $\in \mathbb{Z}$
- **abstraction:** Cartesian abstraction $\mathcal{P}(\mathcal{V}) \times \mathcal{P}(\mathbb{Z})$
 - keep $\mathcal{P}(\mathcal{V})$ in a pointer-specific domain
 - treat offsets as integer variables in numeric domains

Low-level memory example

Union

```
r.w.ax = 258;  
if (r.b.al==2) r.b.al++;
```

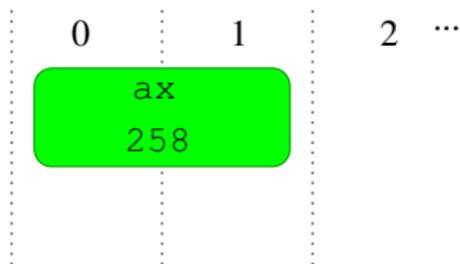


initial state: no cell (\top)

Low-level memory example

Union

```
r.w.ax = 258;  
if (r.b.al==2) r.b.al++;
```

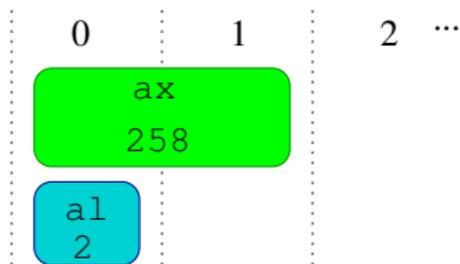


create `r.w.ax`, a `uint16` cell at offset 0

Low-level memory example

Union

```
r.w.ax = 258;  
if (r.b.al==2) r.b.al++;
```

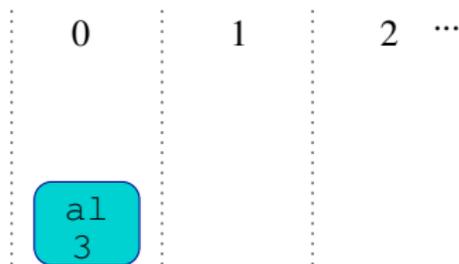


create `r.b.al`, a `uint8` cell at offset 0
initialized with: `r.w.ax mod 256`

Low-level memory example

Union

```
r.w.ax = 258;  
if (r.b.al==2) r.b.al++;
```



modify cell `r.b.al`
destroy invalidated cell `r.w.ax`

Floating-Point Domains

Bit-level float manipulations

Cast

```
double cast(int i) {  
    union { int i[2]; double d; } x, y;  
    x.i[0] = 0x43300000; y.i[0] = x.i[0];  
    x.i[1] = 0x80000000; y.i[1] = i ^ x.i[1];  
    return y.d - x.d;  
}
```

Bit-level float manipulations

Cast

```
double cast(int i) {
    union { int i[2]; double d; } x, y;
    x.i[0] = 0x43300000; y.i[0] = x.i[0];
    x.i[1] = 0x80000000; y.i[1] = i ^ x.i[1];
    return y.d - x.d;
}
```

- $0x43300000 \ 0x80000000$ represents $2^{52} + 2^{31}$
- $0x43300000 \ 0x80000000 \ ^i$ represents $2^{52} + 2^{31} + i$
- $y.d - x.d$ equals i
 \implies cast from 32-bit signed int to 64-bit double

Justification:

- some CPUs miss the cast instruction (PowerPC)
- do not rely on the compiler to emulate it (code traceability)

Bit-level float manipulations

Cast

```
double cast(int i) {
    union { int i[2]; double d; } x, y;
    x.i[0] = 0x43300000; y.i[0] = x.i[0];
    x.i[1] = 0x80000000; y.i[1] = i ^ x.i[1];
    return y.d - x.d;
}
```

Analysis principle:

- **memory domain**: detects union usage
smart initialization at materialization
 $y.d = \text{dbl_of_word}(y.i[0], y.i[1])$
- new **ad-hoc symbolic domain**: maintains predicates
 - $V = W \wedge 0x80000000$ $(y.i[1] = i \wedge x.i[1])$
 - $V = \text{dbl_of_word}(0x43300000, W)$ $(y.d)$

Bit-level float manipulations

Cast

```
double cast(int i) {  
    union { int i[2]; double d; } x, y;  
    x.i[0] = 0x43300000; y.i[0] = x.i[0];  
    x.i[1] = 0x80000000; y.i[1] = i ^ x.i[1];  
    return y.d - x.d;  
}
```

reduction between intervals and predicates:

- predicates inferred by **pattern-matching** of expressions and values provided by **intervals**

(0x43300000, 0x80000000)

- symbolic **rewrite rules** enrich intervals

(y.d - x.d \rightsquigarrow (double)i)

easy to extent with new predicates and propagation rules!

More bit-level float manipulations

Extraction with a bit-mask

```
double d;
unsigned* p = (unsigned*) &d;
e = ((*p >> 20) & 0x7ff) - 1023;
```

Extraction with loop

```
double d, x = 1;
int e = 0;
if (d > 1)
    while (x < d) {
        e++; x *= 2;
    }
```

Both examples **extract the exponent** of a (normalized) 64-bit float.

Can be handled by:

- **enriching** the **symbolic** domain
 - $V = hi_word(W)$
 - $V = 2^{W+i}, i \in \mathbb{Z}$
- adding **new numeric domains**
 - $V/W \in [\ell, h]$ (similar to difference-bound matrices)

Example application: Astrée

The Astrée static analyzer

Analyseur statique de programmes temps-réels embarqués (static analyzer for real-time embedded software)

- developed at **ENS** (since 2001)
 - | B. Blanchet, P. Cousot, R. Cousot, J. Feret,
 - | L. Mauborgne, D. Monniaux, A. Miné, X. Rival
- industrialized and made commercially available by **AbsInt** (since 2009)



Astrée

www.astree.ens.fr



AbsInt

www.absint.com

[Blanchet et al. 03]

The Astrée static analyzer

The screenshot displays the Astrée static analyzer interface. The main window is split into two panes: 'Analyzed file: /invalid/path/scenarios.c' and 'Original source: C:/Pr...ples/scenarios/src/scenarios.c'. The analyzed file pane shows the following code:

```

24
25
26
27
28 z = SPEED_SENSOR;
29
30
31
32
33 ptr = &arrayBlock[0];
34
35
36 if (uninitialized_1) {
37   arrayBlock[15] = 0x15;
38 }
39
40 if (uninitialized_2) {
41   * (ptr + 15) = 0x10;
42 }
43
44
45
46
47
48
49 z = (short)((unsigned short)vx + (unsign
50 ASTREE_assert((-2 <= z && z <= 2));
51
52
53
54
55
56
57
58
59
60
61

```

The original source pane shows the corresponding original code with comments:

```

37 /*
38  * Type cast causing overflow.
39  */
40
41 z = SPEED_SENSOR;
42
43 /*
44  * Precise handling of pointer arithmetic
45  */
46 ptr = &arrayBlock[0];
47
48 if (uninitialized_1) {
49   arrayBlock[15] = 0x15; // easy case
50 }
51
52 if (uninitialized_2) {
53   * (ptr + 15) = 0x10; // hard case
54 }
55
56 /*
57  * Precise handling of compute-through-c
58  * Note that, by default, alarms on expl
59  * deactivated (see Options->General tab)
60  */
61 z = (short)((unsigned short)vx + (unsign

```

The interface also shows a summary of analysis results:

- Errors: 2 (2)
- Alarms: 5 (5)
- Warnings: 1
- Coverage: 100%
- Duration: 30s

The error report pane shows the following details:

- Alarms: 5 (5)
- Errors: 2 (2)
- Not analyzed: 0
- Coverage: 100%
- Files: scenarios.c

The error report lists the following issues:

- Overflow in conversion
- Out-of-bound array access
- Possible overflow upon dereference
- Possible overflow upon dereference
- Assertion failure
- Define runtime error during assignment in this context. Analysis stopped for this context.
- Define runtime error during assignment in this context. Analysis stopped for this context.

The interface also includes a status bar at the bottom indicating the connection: "Connected to localhost:1059 as anonymous@ABSINT-VMWARE".

Specialized static analyzers

Design by refinement:

- **focus** on a specific family of programs and properties
- start with a fast and **coarse** analyzer (intervals)
- while the precision is insufficient (too many false alarms)
 - add **new abstract domains** (generic or application-specific)
 - **refine** existing domains (better transfer functions)
 - **improve** communication between domains (reductions)

⇒ analyzer **specialized** for a (infinite) class of programs

- efficient and precise
- parametric (by end-users, to analyze new programs in the family)
- extensible (by developers, to analyze related families)

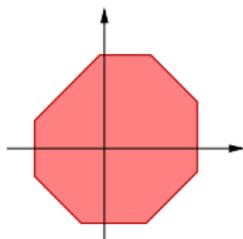
Astrée specialization

Specialized:

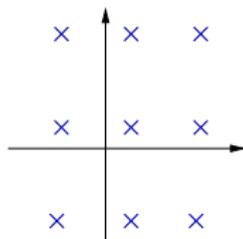
- for the analysis of **run-time errors**
(arithmetic overflows, array overflows, divisions by 0, etc.)
- on embedded critical **C** software
(no dynamic memory allocation, no recursivity)
- in particular on **control / command** software
(reactive programs, intensive floating-point computations)
- intended for **validation**
(analysis does not miss any error and tries to minimise false alarms)

More Abstract Domain Examples

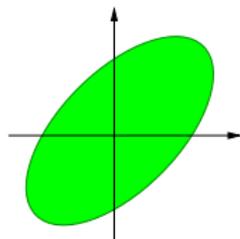
A few of the abstract domains used in Astrée.



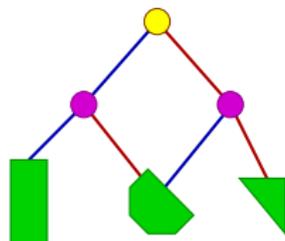
octagons
 $\pm X \pm Y \leq c$



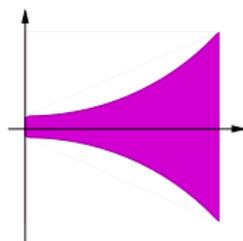
congruences
 $X \equiv a [b]$



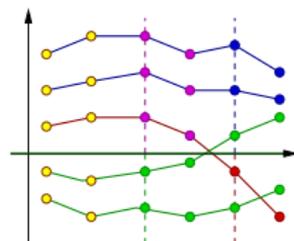
ellipsoids
 digital filters



boolean decision trees



exponentials
 $X \leq (1 + \alpha)^{\beta t}$



trace partitions

Astrée applications (at ENS)



Airbus A340-300 (2003)



Airbus A380 (2004)



(model of) ESA ATV (2008)

- size: from 70 000 to 860 000 lines of C
- analysis time: from 45mn to \simeq 40h
- alarm(s): 0 (proof of absence of run-time error)

The end
